

8-20-01

**NON-PROVISIONAL
UTILITY PATENT APPLICATION
TRANSMITTAL - 37 CFR 1.53(b)**

☐ Duplicate
(check, if applicable)

JC825 U.S. PTO

09/932300

JC878 U.S. PTO
08/17/01

Assistant Commissioner for Patents
BOX PATENT APPLICATION
Washington, DC 20231

Attorney Docket No. 9855-3U2 (OTT-3031-2)
First Named Inventor: Eric Garver
Express Mail Label No. EL665882005US
Total Pages of Transmittal Form: 2

Transmitted herewith for filing is the non-provisional utility patent application entitled:

Methods of Inhibiting Alcohol Consumption

which is:

an ☐ Original; or

a ☐ Continuation, ☐ Divisional, or ☒ Continuation-in-part (CIP)
of prior Application No. 09/109,663 filed July 2, 1998.

Anticipated Group/Art Unit: &@ or Class &@, Subclass &@.

☒ This non-provisional patent application is based on Provisional Patent Application
No. 60/051,705, filed July 3, 1997.

Enclosed are:

- ☒ Specification (including Abstract) and claims: 93 pages.
- ☒ Application Data Sheet.
- ☒ Non-executed Declaration.
- ☐ Copy of Declaration from prior application.
- ☐ Separate Power of Attorney (including 37 CFR 3.73(b) statement, if applicable).
- ☒ 14 sheets of drawings (formal).
- ☐ Transmittal Letter Accompanying Submission of Compact Disc in Accordance
with 37 C.F.R. §1.52(e), plus two identical compact discs (for computer program
Appendix)
- ☒ Nucleotide and/or Amino Acid Sequence Submission, including:
 - ☒ Computer readable copy ☒ Paper Copy (30 pages) ☒ Verified Statement.
- ☐ Under PTO-1595 Cover Sheet, an assignment of the invention
- ☒ Name of Assignee: Thomas Jefferson University
- ☐ Certified copy(ies) of &@ Application No(s). &@ filed &@ is/are filed:
 - ☐ herewith or ☐ in prior application &@.
- ☒ Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under
37 C.F.R. §1.27 as ☐ an Independent Inventor, or ☐ a Small Business Concern,
or ☒ a Non-Profit Organization.
- ☒ Preliminary Amendment.
- ☐ Information Disclosure Statement, PTO-1449, and cited references.
- ☐ Request for Nonpublication of Application Under 35 U.S.C. §122(b)

The filing fee is calculated as follows:

| | | | SMALL ENTITY | | | LARGE ENTITY | |
|---------------------------------------|-----------|-----------|--------------|--------|----|--------------|----|
| CLAIMS | NO. FILED | NO. EXTRA | BASIC FEE: | | | BASIC FEE: | |
| | | | \$355 | | | \$710 | |
| Total | 34 - 20 = | 14 | × 9 | \$ 126 | OR | × 18 | \$ |
| Independent | 3 - 3 = | 0 | × 40 | \$ | OR | × 80 | \$ |
| [] Multiple Dependent Claims Present | | | \$135 | \$ | OR | \$270 | \$ |
| | | | TOTAL | \$ 481 | OR | TOTAL | \$ |

[] The Commissioner is not authorized to charge the filing fee at this time as we elect to defer payment of the entire filing fee until receipt of a Notice to File Missing Parts.

[X] A check in the amount of \$481.00 to cover the filing fee is enclosed.

[X] The Commissioner is hereby authorized to charge and/or credit **Deposit Account No. 50-1017 (Billing No. 209855.0085)** as noted below. A duplicate copy of this sheet is enclosed.

[X] Any overpayments or deficiencies in the above-calculated fee.

[] Filing fee in the amount of \$_____ as calculated above.

[X] Any additional fees required under 37 C.F.R. § 1.16 and § 1.17.

[X] In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account noted above.

CORRESPONDENCE ADDRESS:

17 August 2001
(Date)

By: _____

Gary D. Colby

Registration No. 40,961

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Enclosures

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|-------------|--|--|
| In Re: | Patent Application of Eric Garver et al. | : Group Art Unit: Not Yet Assigned : : |
| Appln. No.: | Not Yet Assigned | : Examiner: Not Yet Assigned : |
| Filed: | Herewith | : Confirm. No.: Not Yet Assigned : |
| For: | Methods of Inhibiting Alcohol Consumption | : Attorney Docket : No. 9855-3U2 : (OTT-3031-2) |

**STATEMENT TO SUPPORT FILING AND SUBMISSION
IN ACCORDANCE WITH 37 CFR §§ 1.821 THROUGH 1.825**

- (X) I hereby state, in accordance with the requirements of 37 C.F.R. §1.821(f), that the contents of the paper and computer readable copies of the Sequence Listing, submitted in accordance with 37 C.F.R. §1.821(c) and (e), respectively are the same.

Respectfully submitted,

Eric Garver et al.

17 August 2001

(Date)

By: 

Gary D. Colby

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